



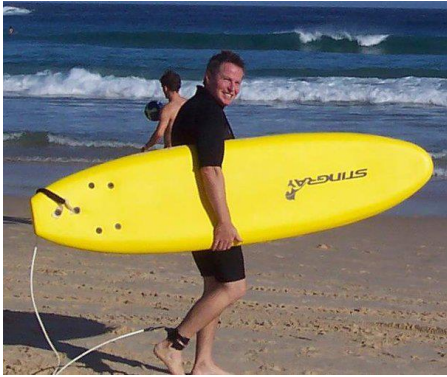
Enhancing SharePoint's Records Capabilities Real Life Cases for 3rd Party Solutions

December 9, 2013

Agenda

- About the Speaker and his Company
- What is Driving Information Management
- Why SharePoint? Why Not SharePoint
- Use Cases
 - Compliance Challenges
 - Cloud Challenges

About the Speaker



- *Member of the Executive Team at Gimmel*
- *Helped found an RM company in Vancouver*
- *25 Years Developing and Marketing Technology*
- *20 year Providing Software to the Public Sector*

20 Year ARMA Member

Member of the 1st ARMA ERM Committee to comment on the DOD Standard

Subject Matter Expert in RM Technology & Programs

Has delivered over 100 presentations over 20 years at various Universities & Trade Association seminars

Past Presentations

MER Conference

ARMA International,
Regional and Local
Chapters

AIIM

FOSE

UBC, SLAIS


University of Washington

Vancouver Community
College

About my Company



From **PLANNING** to
DEPLOYMENT and
long-term **SUPPORT**...



Gimmal is the Information Management Expert

Headquartered in Houston TX.

110+ employees Worldwide (wholly owned)

Revenues: \$25 Million annually

Globally Managed Microsoft Partner

Business Critical SharePoint Partner

Microsoft-SAP Unite Program Partner



Gimmal focuses on:

Information Governance
for SharePoint

Targeted Business
Solutions

Business Application
Interoperability

Formal Records
Management

Centralized Information
Management

US Federal Mandates Driving Information Management...

OMB Managing Government Records Directive (NARA)

- All permanent records must be managed in digital format by 2019.
- Email must be managed in electronic format in a Records Management system by 2016

Driving Core Records

Presidential Managing Government Records Directive

- The intent is to improve performance and promotion of openness and accountability by better documenting agency actions and decisions

Driving Consolidation

Cloud First Directive

- Requires that all agencies move new IT systems to the cloud if possible, to lower operating costs and to consolidate applications

Driving Cloud

Audit Readiness

- Mandates that all DoD organizations be "audit ready" by 2016
- Must be able to pass financial audit process for all financial transactions and asset management

Driving Accountability

How can we show value with Governance?

Records management is not enough:



Gartner

true information governance protects intellectual property(IP), helps exploit it effectively, improves IT performance and performance management, and cuts costs.

- 1 Reducing storage costs
- 2 Decreasing the cost and risk of e-discovery for litigation
- 3 Enabling regulatory compliance (or, avoiding non-compliance)
- 4 Improve **IT** efficiency and responsiveness

SharePoint is a popular platform...

USERS

CUSTOMERS

135,000,000+

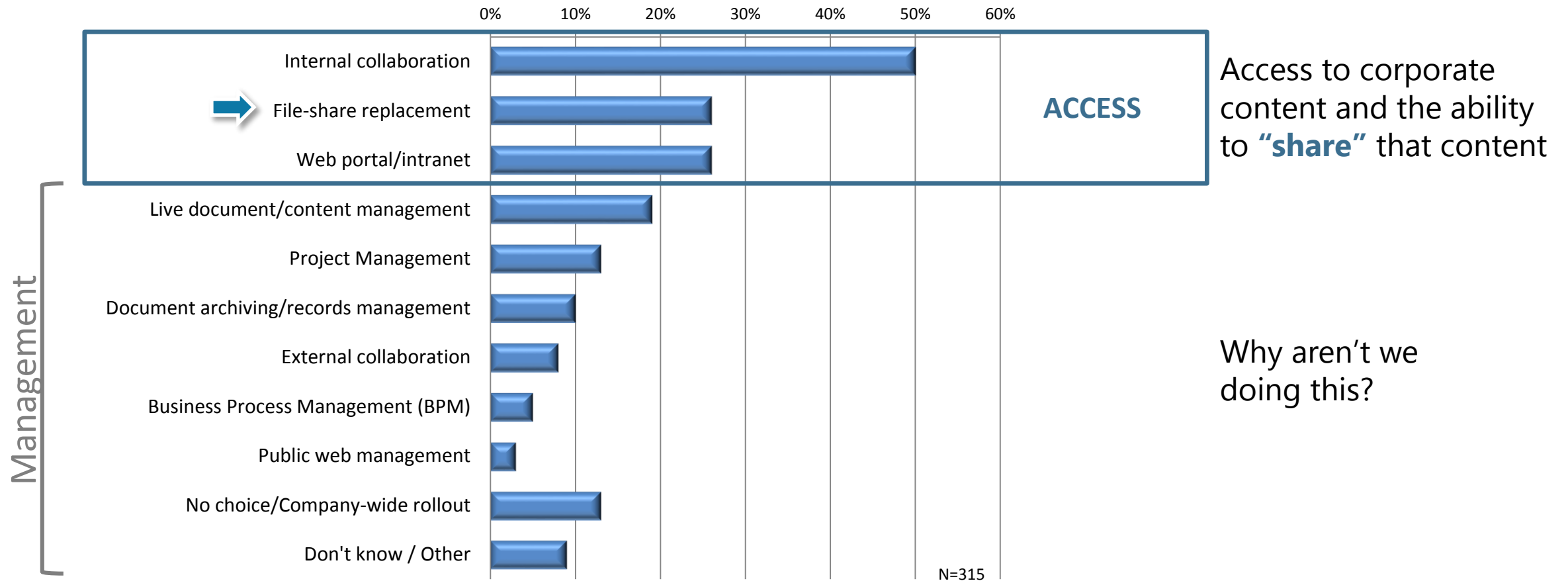
65,000+

83%

ACTIVELY using

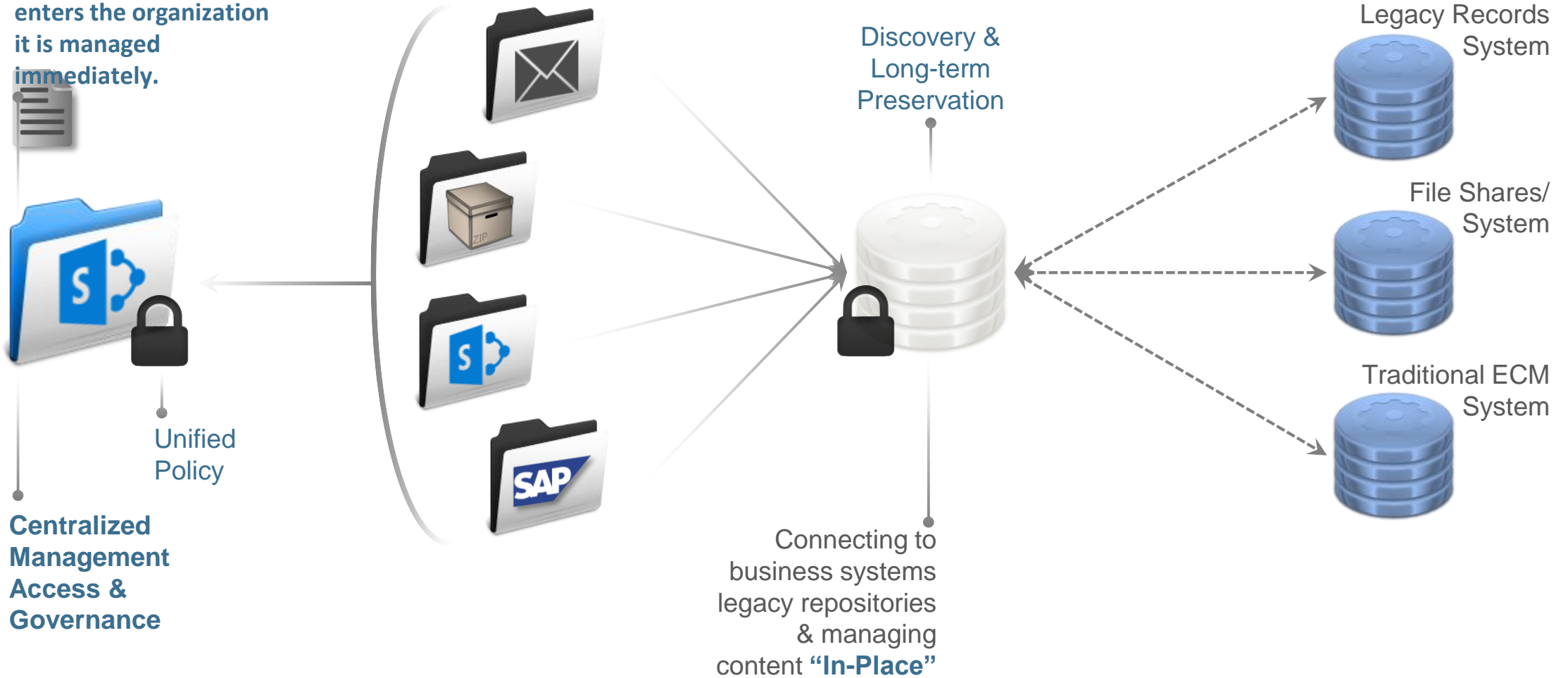
So what are we using SharePoint for?

What were your two main reasons for implementing SharePoint?

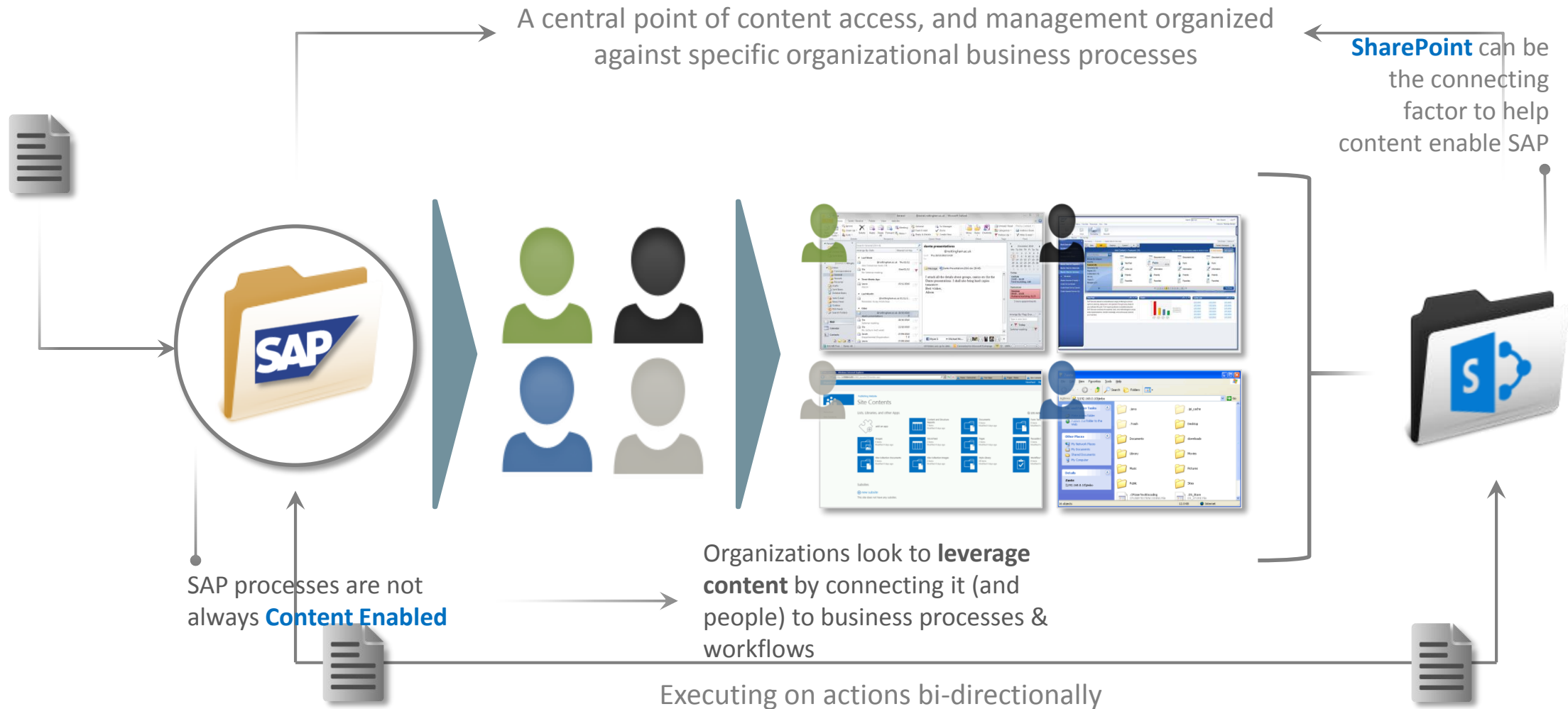


The Information Management Ideal

When a document enters the organization it is managed immediately.



An Information Management *Ordeal*





SharePoint Case Study: Compliance Challenges

December 9, 2013

Project Overview

Canadian Privacy Commissioner:
Bringing Certified Compliance to SharePoint



Goals and Objectives

- Uncontrolled information growth; increasing IT costs
- Numerous disparate content repositories; no single source of truth
- Strong focus on compliance and records management

Value Gained

- Improved access through centralized management
- Unified policy management
- Connected data sources
- Certified RM to replace legacy system

Extending Full Formal Records to SharePoint

<div>72</div> <div><ul style="list-style-type: none">Retention PoliciesTime-based retentionClassification</div> <div>SharePoint</div>	<div>105</div> <div><ul style="list-style-type: none">Forensic DestructionEvent Based RetentionCase File RetentionFormal DispositionEmail Integration</div> <div>Fortune 1000</div>	<div>168</div> <div><ul style="list-style-type: none">TransfersContainer Open/CloseVital RecordsSupplemental Markings</div> <div>Department of Defense 5015.2<ul style="list-style-type: none">Chapters 2, 3 & 5</div>	<div>Built Inside SharePoint</div> <div><ul style="list-style-type: none">Leverage Current SharePoint InvestmentLeverage Current Hardware InvestmentReduce Change ManagementContent Remains inside SharePoint</div>
RIMtech Inc, Bruce Miller, GimmelSoft Compliance Suite, A First Look			

Benefits Received - Pervasive Compliance

SharePoint is the centralized content and records repository for unstructured content

Delivery of content to multiple channels through integration of enterprise applications with SharePoint

Improved search, access and legal holds through SharePoint

Reduced risk through centralized governance and RM

Lower redundancy and IT costs with “built inside” SharePoint solution



SharePoint Case Study: An Ascent to the Cloud

December 9, 2013

US Federal Mandates Driving Information Management...

OMB Managing Government Records Directive (NARA)

- All permanent records must be managed in digital format by 2019.
- Email must be managed in electronic format in a Records Management system by 2016

Driving Core Records

Presidential Managing Government Records Directive

- Requires all agencies to reduce duplicative solutions and modernize business processes to improve the way government works.

Driving Core Records

Cloud First Directive

- Requires that all agencies move new IT systems to the cloud if possible, to lower operating costs and to consolidate applications

Driving Cloud

Audit Readiness

- Mandates that all DoD organizations be "audit ready" by 2016
- Must be able to pass financial audit process for all financial transactions and asset management

Driving Accountability

Scattered Clouds...

50 Million Enterprises

Those using Office productivity
in the Cloud:

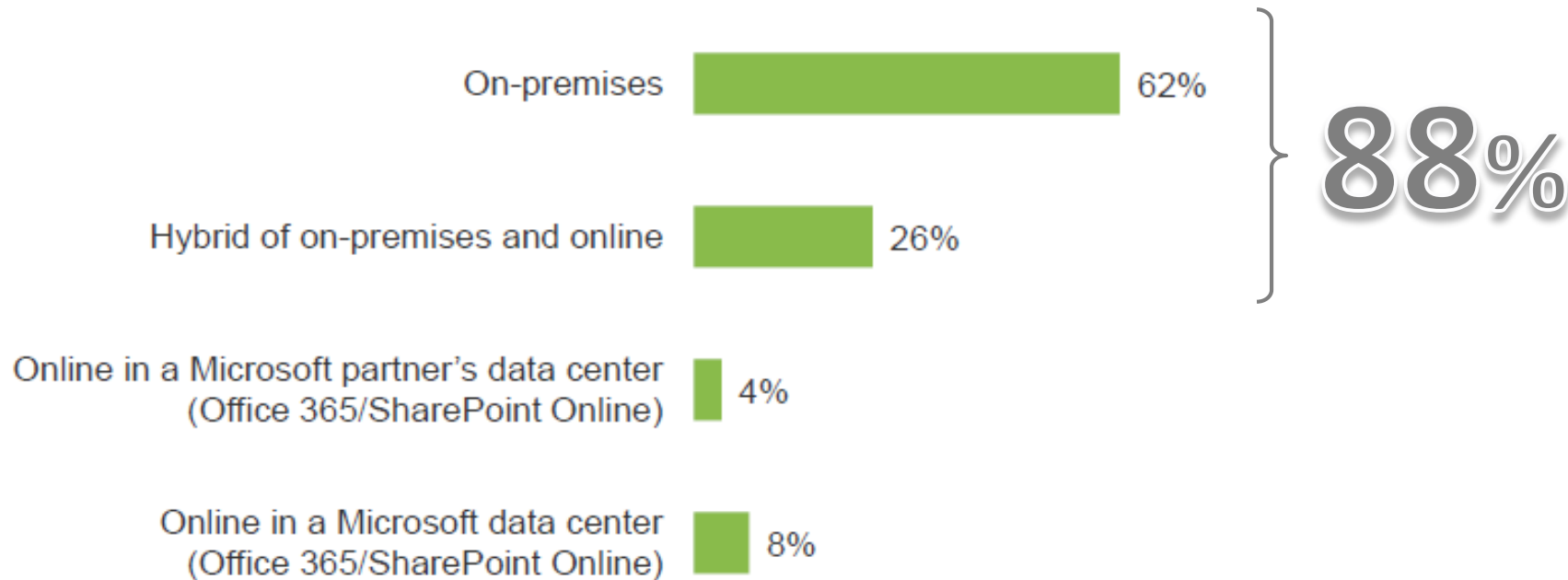
8%

INHIBITORS

- 1 Security compromised
- 2 Compliance
Regulatory
Data Sovereignty
- 3 eDiscovery costs

What are your plans to leverage the Cloud?

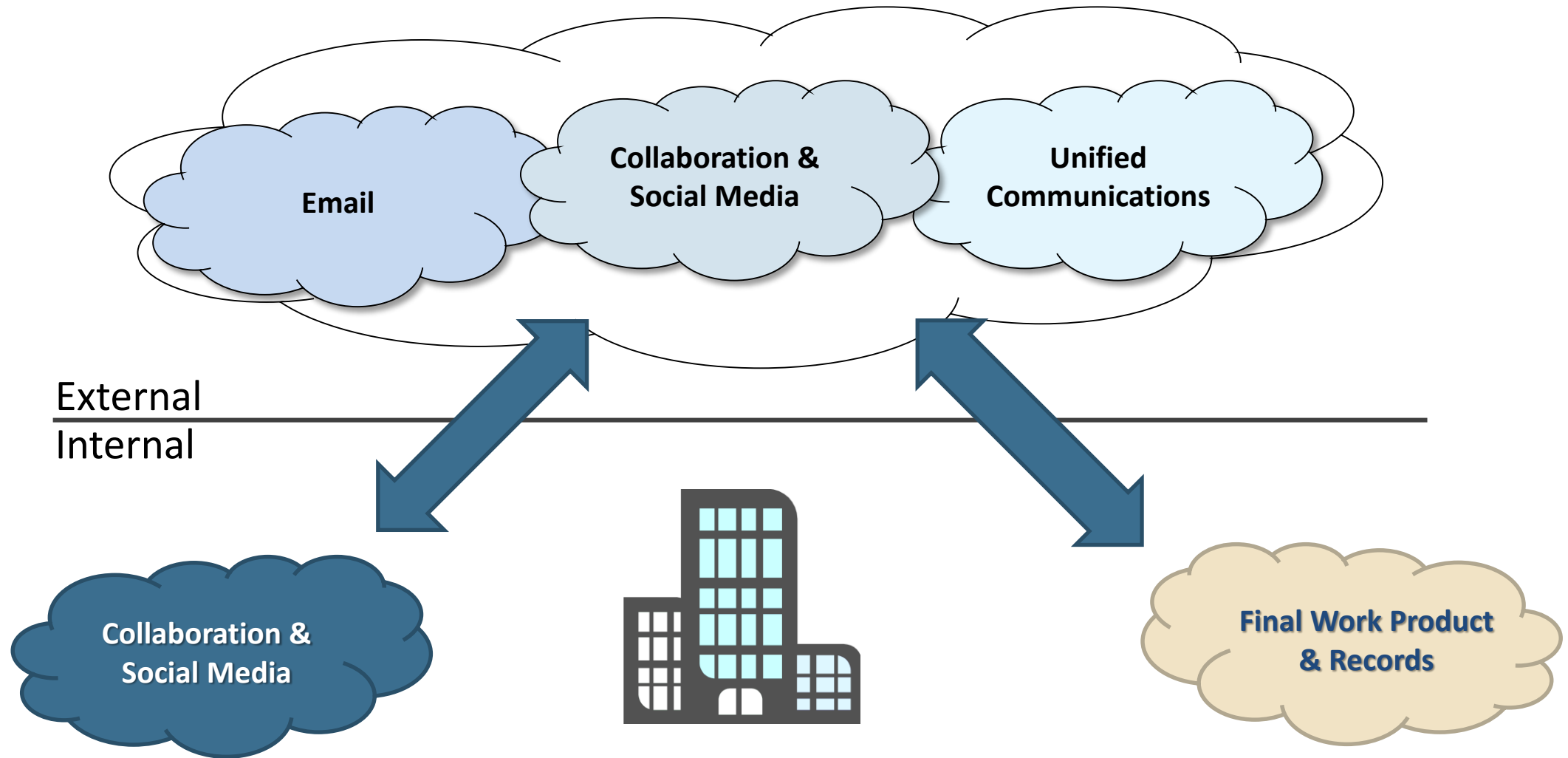
“Where do you expect to deploy SharePoint 2013?”



The responsibility for “Cloud Governance” remains at home...

InformationWeek

What is the practical step to get us there?



Gimmal Brings DOD Compliance to the Cloud

Jan 30, 2013, 1:23pm EST

Labor Department taps InfoReliance for \$50M cloud email deal

[« Back to article](#)



The Labor Department wants to move its employees and contractors to the cloud.

- Gimmal part of the winning team at US Department of Labor
- Providing DOD5015.2 certified solution for email in the cloud
- Hybrid approach addresses OGC and OIG concerns about records in the cloud
- Helped meet the data center consolidation mandate and the presidential initiative on records management

... **Gimmal** Provided a Hybrid option that allowed DOD5015.2 in a Cloud Environment

Resulting Benefits

Lowered costs by leveraging multi-tenant Office 365 environment for email

Improved confidence with the security of a “Private Cloud” for content and DOD5015.2 certified records

Emails that are records can be declared from O365 to private cloud through simple drag and drop

Can comply with the “cloud first” and “OMB Managing Government Records Directive (NARA)” directive

A black and white photograph of several classical columns, likely from a government building or museum. The columns are made of stone and feature fluted shafts. They are arranged in a row, receding into the background. The lighting creates strong shadows on the ground and the base of the columns.

SO WHAT DO I GO FROM HERE?
Things to Consider

When would I need a 3rd party tools with SharePoint?

If your retention schedule requires event based retention to be calculated or formal disposition approval workflows

If you require both physical and electronic records to be managed within the same SharePoint environment

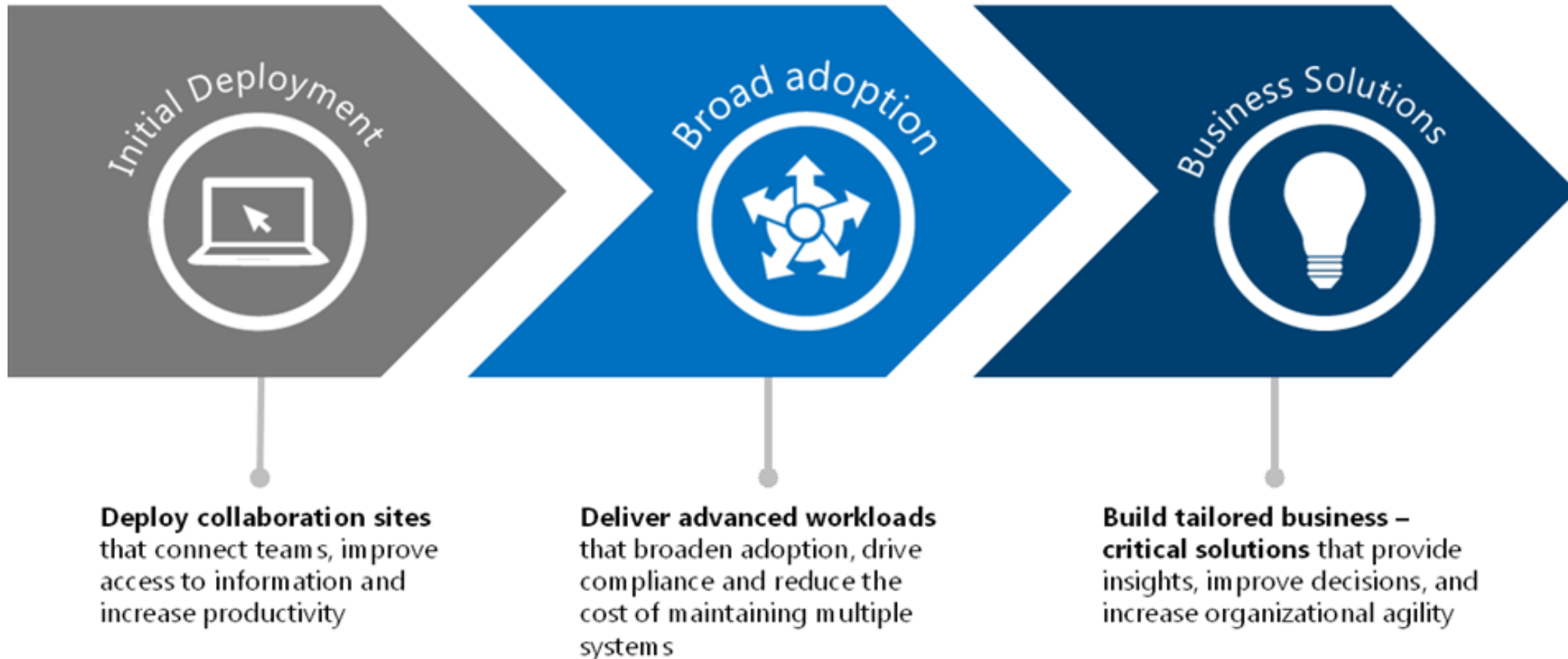
If you require transfer of records or digital shredding of content at the end of the disposition cycle

If you require email to be managed as a record

If you require the management of case files in SharePoint

If you need to place and manage holds across multiple sites or site collections in SharePoint

Realize SharePoint is a Journey



Gimmal is focused on Governance Innovation





Questions

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